

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-033001	Application No. Not yet assigned
	Applicant Shunpei YAMAZAKI et al.		
	Filing Date April 25, 2001	Group Art Unit Unknown	

JC971 U.S. PTD  
09/841156

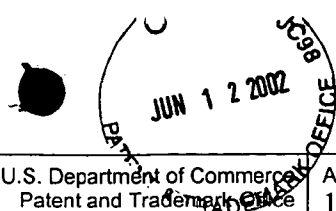



U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
EK	AA	5,643,826	07/1/1997	Ohtani et al.	—	—	
EK	AB	5,923,962	07/13/1999	Ohtani et al.	—	—	
	AC						
	AD						
	AE						
	AF						
	AG						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AH							
	AI							
	AJ							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
EK	AK	Tsutsui et al., "Electroluminescence in Organic Thin Films", Photochemical Processes in Organized Molecular Systems, 1991, pp. 437-450.
EK	AL	M. A. Baldo et al., "Highly Efficient Phosphorescent Emission from Organic Electroluminescent Devices", Nature Vol. 395, September 10, 1998, pp. 151-154.
EK	AM	M. A. Baldo et al., "Very High-Efficiency Green Organic Light-Emitting Devices Based on Electrophosphorescence", Applied Physics Letters Vol. 75, No. 1, July 5, 1999, pp. 4-6
EK	AN	Tsutsui et al., "High Quantum Efficiency in Organic Light-Emitting Devices with Iridium-Comolex as a Triplet Emissive Center", Japanese Journal of Applied Physics Vol. 38, Part 12B, December 15, 1999, pp. L1502-L1504.
EK	AO	Japanese Patent Application Laid-Open No. 7-130652 (English Abstract attached) Publication Date 05/19/1995
EK	AP	Japanese Patent Application Laid-Open No. 8-96959 (English Abstract attached) Publication Date 04/12/1996
EK	AQ	Japanese Patent Application Laid-Open No. 9-63770 (English Abstract attached) Publication Date 03/07/1997
EK	AR	U.S. Patent Application No. 09/724,387 09/26/06
EK	AS	U.S. Patent Application No. 09/760,499 08/23/01

Examiner Signature <i>Em Kar</i>	Date Considered 10/2/02
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

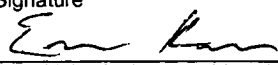
Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-033001	Application No. 09/841,156
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Shunpei Yamazaki et al.	
(37 CFR §1.98(b))		Filing Date April 25, 2001	Group Art Unit 2812

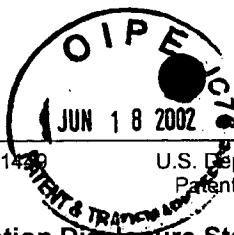
U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>Ek</i>	AA	6,031,290	02/29/2000	Miyazaki et al.			03/13/1998
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Duplicates of translations in #11

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<i>Ek</i>	AL	6-148685	05/27/1994	Japan	—	—	Abs	
<i>Ek</i>	AM	7-235680	09/05/1995	Japan	—	—	Abs	
<i>Ek</i>	AN	8-274336	10/18/1996	Japan	—	—	Abs	
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature 	Date Considered <i>10/2/02</i>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Part of #9  
Sheet 1 of 1

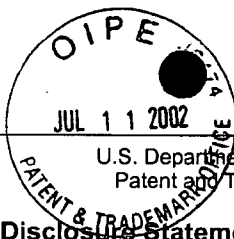
Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-033001	Application No. 09/841,156
	Applicant Shunpei YAMAZAKI et al.		
	Filing Date April 25, 2001	Group Art Unit - 2812	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
EX	AL	2001-331120	11/30/2001	Japan	—	—	Abs	
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature 	Date Considered 10/2/02
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Part of #11


Sheet 1 of 1

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12732-033001	Application No. 09/841,156
	Applicant Shunpei YAMAZAKI et al.		
	Filing Date April 25, 2001	Group Art U 2812	TECHNOLOGY CENTER 2800 JUL 12 2002 RECEIVED

U.S. Patent Documents							Filing Date If Appropriate
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
EK	AL	6-148685	05/27/1994	Japan	—	—	Full	
EK	AM	7-235680	09/05/1995	Japan	—	—	Full	
CK	AN	8-274336	10/18/1996	Japan	—	—	Full	
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature 	Date Considered 10/2/02
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	